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JUL 1 4 2003

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TECH CENTER 1600/2900

Application ID:

10058291

Title of Invention:

Recombinational cloning using engineered recombination sites

First Named Inventor:

James Hartley

Domestic/Foreign Application:

Domestic Application

Filing Date:

2002-01-30

Effective Receipt Date:

2003-07-09

Submission Type:

Information Disclosure

Statement

Filing Type:

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3302

Attorney Docket Number:

0942.2850001

Total Fees Authorized:

Digital Certificate Holder: cn=Brian Joseph Del Buono,ou=Registered Attorneys,ou=Patent and

Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US Certificate Message Digest: 9f8848435ce6290831cb7238ba71ba804cdaa608



TRANSMITTAL

Electronic Version v1.1
Stylesheet Version v1.1.0

Title of Invention

Recombinational cloning using engineered recombination sites

Application Number:

10/058291

Date:

2002-01-30

First Named Applicant:

James L.

Confirmation Number:

3302

Attorney Docket Number: 0942.285000I

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TECH CENTER 1600/2900

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Submitted by:	Elec. Sign.	Sign. Capacity
Brian J. Del Buono Registered Number: 42,473	/bjd/	Attorney

Documents being submitted

Files

us-ids

IDS0942285000C-usidst.xml

us-ids.dtd

us-ids.xsl

Comments



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

Recombinational cloning using engineered recombination JUL 1 4 2003
TECH CENTER 1600/2900 sites

Application Number:

10/058291

Confirmation Number:

3302

First Named Applicant:

James Hartley

Attorney Docket Number: 0942.285000I

Search string:

(4683195 or 4683202 or 4965188 or 5093257 or 5102797 or 5159062 or 5334375 or 5334575 or 5378618 or 5605793 or 5650557 or 5728551 or 5801030 or 5814300 or 5837458 or 5929307 or 5962255 or 5981177 or 6080576 or 6262341 or 6271436 or 6277608 or 6361972 or 6365377

or 6410266 or 6410317 or 6436707 or 5348886

or 20030027337).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

			Date	Patentee	Kind	Class	Subclass
init	Cite.No.	Patent No.	Date				
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	2	4683202	1987-07-28	Mullis			
	3	4965188	1990-10-23	Mullis et al.	ì		
	4	5093257	1992-03-03	Gray, G.L.			
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init	Cite.No.	Pub. No.	Date	Applicant	Kind Class	Subclass
	1	20030027337	2003-02-06	Droge et al.		

Signature

Examiner Name	Date





Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michele A. Cimbala Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda F Alcorr Lawrence B. Bugaisky Donald J. Featherstone Michael V. Messinger

Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jackman Molly A. McCall Teresa U. Medler Vincent L. Capuano Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston* Kimberly N. Reddick

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July 9, 2003

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Art Unit 1636

WRITER'S DIRECT NUMBER:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

U.S. Utility Patent Application

Appl. No. 10/058,291; Filed: January 30, 2002

Recombinational Cloning Using Engineered Recombination Sites

Inventors:

HARTLEY et al.

Our Ref:

0942.285000I/RWE/BJD/JKM

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Second Supplemental Information Disclosure Statement;
- 2. List of cited references on Form PTO-1449 (34 sheets);
- 3. A copy of each reference cited on Form PTO-1449 (120 documents: AN5-AL9, and AR72-AS105); and
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents July 9, 2003 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

RWE/BJD/JKM/acr Enclosures

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Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com



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In re application of:

Hartley et al.

Appl. No. 10/058,291

Filed: January 30, 2002

For:

Recombinational Cloning

Using Engineered **Recombination Sites** Confirmation No. 3302

Art Unit:

1636

Examiner:

To Be Assigned

Atty. Docket: 0942.285000I/RWE/BJD/JKM

Second Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed electronically herewith, and Applicants' Information Disclosure Statement filed June 18, 2002, in connection with the above-captioned application. A copy of each document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates

should not be construed as an admission that the information was actually published on the date indicated.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono Attorney for Applicants Registration No. 42,473

Date: July 9, 2003

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



EXAMINER

FORM PTO-1449

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0942.285000I/RWE/BJD/JKM

DATE CONSIDERED

APPLICANT

Hartley et al. FILING DATE

APPLICATION NO. 10/058,291 10/058,291 10/003 January 30, 2002 U.S. PATENT DOCUMENTS EXAMINER SUB-CLASS CLASS NAME DOCUMENT NUMBER DATE INITIAL AΑ AΒ AC AD ΑE AF AG AΗ ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS TRANSLATION EXAMINER CLASS SUB-CLASS COUNTRY DOCUMENT NUMBER INITIAL Yes No WIPO 07/11/1991 AL6 WO 91/09957 Yes No 09/17/1992 WIPO WO 92/15694 AM6 Yes No WIPO 12/23/1992 AN6 WO 92/22650 Yes No 05/19/1993 Europe A06 EP 0 542 466 Yes No 02/17/1994 WIPO AP6 WO 94/03624 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Angrand, P.O., et al., "Inducible expression based on regulated recombination: a single vector strategy for stable expression in cultured cells," Nucl. Acids AR <u>73</u> Res. 26:3263-3269, Oxford University Press (1998). Ayres, E.K., et al., "Precise Deletions in Large Bacterial Genomes by Vectormediated Excision (VEX). The trfA Gene of Promiscuous Plasmid RK2 is Essential for Replication in Several Gram-negative Hosts," J. Mol. Biol. 230:174-185, AS <u>73</u> Academic Press (1993). Backman, K., et al., "Use of Synchronous Site-Specific Recombination In Vivo to Regulate Gene Expression," Bio/Technology 2:1045-1049, Nature Publishing <u>73</u> AT Company (1984).



FORM PTO-1449 SECOND SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT

0942.285000I/RWE/BJD/JKM

ATTY. DOCKET NO.

APPLICANT

Hartley et al.

FILING DATE January 30, 2002

APPLICATION NO. 10/058,291

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January 30, 2002

GROUP 1636

Page Ay, of 3 U.S. PATENT DOCUMENTS EXAMINER CLASS SUB-CLASS FILING DATE NAME DOCUMENT NUMBER DATE INITIAL AΑ AΒ AC AD ΑE AF AG HΑ AΙ АJ ΑK FOREIGN PATENT DOCUMENTS TRANSLATION EXAMINER SUB-CLASS CLASS COUNTRY DOCUMENT NUMBER DATE INITIAL Yes No WIPO 10/12/2000 AL8 WO 00/60091 Yes No WIPO 01/25/2001 WO 01/05961 8MA Yes No WIPO 02/01/2001 WO 01/07572 8NA Yes No 02/15/2001 WIPO 80A WO 01/11058 Yes No WIPO 08/30/2001 WO 01/62892 AP8 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Boshart, M., et al., "A Very Strong Enhancer is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus," Cell 41:521-530, The MIT Press <u>75</u> AR Bouhassira, E.E., et al., "Transcriptional Behavior of LCR Enhancer Elements Integrated at the Same Chromosomal Locus by Recombinase-Mediated Cassette Exchange, Blood 90:3332-3344, The American Society of Hematology (1997). <u>75</u> AS Burioni, R., et al., "An improved phage display vector for antibody repertoire cloning by construction of combinatorial libraries, " Res. Virol. 148:161-164, AΤ <u>75</u> Elsevier (1997). DATE CONSIDERED EXAMINER



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Hartley et al.

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APPLICANT Hartley et al.

FILING DATE January 30, 2002

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FILING DATE January 30, 2002

Page 8 Page 10/058, Page N/Ep 2003 U.S. PATENT DOCUMENTS FILING DATE EXAMINER SUB-CLASS CLASS NAME DATE DOCUMENT NUMBER INITIAL AΑ AΒ AC AD ΑE AF AG ΑH ΑI AJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER TRANSLATION CLASS SUB-CLASS COUNTRY DOCUMENT NUMBER DATE INITIAL Yes No ΑL Yes No ΑM Yes No ΑN Yes No ΑO Yes No AP OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Deng, M.-D., and Coleman, J.R., "Ethanol Synthesis by Genetic Engineering in Cyanobacteria, " Appl. Environ. Microbiol. 65:523-528, American Society for <u>79</u> AR Microbiology (1999). Derbyshire, V., and Belfort, M., "Lightning strikes twice: Intron-intein coincidence," *Proc. Natl. Acad. Sci. USA 95*:1356-1357, National Academy of AS <u>79</u> Sciences (1998). Dijkema, R., et al., "Cloning and expression of the chromosomal immune interferon gene of the rat." EMBO J. 4:761-767, IRL Press Limited (1985). <u>79</u> AΤ DATE CONSIDERED EXAMINER



SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0942.2850001/RWE/BJD/JKM Parofe, 9 of 34

APPLICATION, NO. 1

10/058, 2917 CN/ER/600/2003

APPLICANT

Hartley et al. FILING DATE

January 30, 2002

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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APPLICANT Hartley et al.

FILING DATE January 30, 2002

Page 10 de 34
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ATTY. DOCKET NO. 0942.2850001/RWE/BJD/JKM

APPLICANT

FORM PTO-1449

SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Hartley et al. FILING DATE January 30, 2002

APPLICATION 100 10/058,291

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ATTY. DOCKET NO. 0942.285000I/RWE/BJD/JKM Page 12 of the Application No. 10/058,291

FORM PTO-1449

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FILING DATE January 30, 2002

GROUP 1636

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APPLICANT

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January 30, 2002

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APPLICATION 10/058,291

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Hartley et al.

FILING DATE January 30, 2002

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Hartley et al.

FILING DATE January 30, 2002

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Page 26 of 34

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January 30, 2002

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APPLICATION NO. 10/058,291

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Hartley et al.

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Hartley et al. FILING DATE January 30, 2002

GROUP 1636

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0942.285000I/RWE/BJD/JKM

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